Wealth Management and Trust Services

Exception List

4/26/2019

Blue = Outstanding Items on accounts with more than one item **Red = Critical**

Actual	Priority	Officer	Date Initiated	Posted by:	Acct #	Acct Name	Exception Item(s)	Officer Contacted	Admin Review Comments	Date Cleared
	60 Days	**GEP**	**2/1/2019**	**JB**						
	30 Days	**SW**	**2/26/2019**	**JB**						
	30 Days	**MJ**	**2/28/2019**	**JB**						
		SW	**3/7/2019**	**JB**						
	30 Days	**SW**	**3/7/2019**	**JB**						
	30 Days	**GEP**	**3/7/2019**	**JB**						
	30 Days	**GEP**	**3/7/2019**	**JB**						
	30 Days	**GEP**	**3/7/2019**	**JB**						
	30 Days	**GEP**	**3/7/2019**	**JB**						
	30 Days	**GEP**	**3/15/2019**	**JB**						
	30 Days	**GEP**	**3/7/2019**	**JB**						
	30 Days	**JDV**	**3/7/2019**	**JB**						
	30 Days	**JDV**	**3/7/2019**	**JB**						
	30 Days	**JDV**	**3/7/2019**	**JB**						
	30 Days	**JDV**	**3/7/2019**	**JB**						
	30 Days	**JDV**	**3/12/2019**	**JB**						
	30 Days	**GEP**	**3/18/2019**	**JB**						
	30 Days	**GEP**	**3/18/2019**	**JB**						
	New	GEP	3/26/2019	CJ						
	New	JDV	4/5/2019	JB						
	New	SW	4/9/2019	JB						
	New	SW	4/9/2019	JB						
	New	SW	4/9/2019	JB						
	New	SW	4/9/2019	JB						
	New	SW	4/9/2019	JB						
17.67339502	New	SW	4/9/2019	JB						

Brokerage Supervisory Alerts

None